

10/561246

IAP9 Rec'd PCT/PTO 19 DEC 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hideki SATO, et al.

Art Unit: *To Be Assigned*

Appl. No. *To Be Assigned*

Examiner: *To Be Assigned*

Confirmation No.: *To Be Assigned*

Filed: December 16, 2005

Atty. Docket No. 31238-226496

For: PRODUCTION STABLIZING  
DEVICE AND METHOD FOR  
MULTICOMPONENT FILM AND  
COATED TOOL WITH  
MULTICOMPONENT FILM

Customer No.

26694

PATENT TRADEMARK OFFICE

**INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP PCT**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97  
within the time specified under 37 C.F.R. § 1.97(b).

In order to comply the duty of disclosure pursuant to 37 C.F.R. § 1.56, submitted  
herewith is Form PTO/SB/08A listing the documents (cite Nos. A1- A-6 and B1) cited in the  
enclosed International Search report of International Application No. PCT/JP2004/009157.

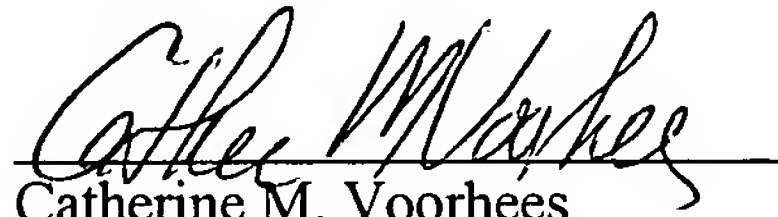
The relevance of each document is indicated in the International Search Report.

Copies of the documents are being provided, along with the English Abstracts of the  
cited patent documents, even though copies are furnished directly by WIPO under an  
exchange program between the PTO, the EPO and the JPO.

Additionally, in order to comply with applicant's duty of disclosure, the U.S. Patent and Trademark Office is notified of the documents (cite Nos. A-7 and A-8) which are also listed on the attached Form PTO/SB/08A and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of these documents is submitted herewith. Cite No. A-7 (JP-U-06-33956) is cited on page 3 of the specification and its relevance can be gleaned from that description. No translation nor an Abstract is readily available for Cite No. A-8 (JP-U-06-065466).

In view of the above, no further statement of relevance need be given, and as all requirements of 37 C.F.R. § 1.97 and § 1.98, and all official guidelines pertaining to Information Disclosure Statements have been complied with, it is respectfully requested that the Examiner consider the cited publications and make them of record.

Respectfully submitted,



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Date: December 19, 2005

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	Hideki SATO
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	31238-226496

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	A-1	JP-02-101160 A	04/12/1990			Abstract
	A-2	JP-03-193868 A	08/23/1991			Abstract
	A-3	JP-62-211376 A	09/17/1987			Abstract
	A-4	JP-2002-212712 A	07/31/2002			Abstract
	A-5	JP-06-264225	09/20/1994			Abstract
	A-6	JP 2001-001202 A	01/09/2001			Abstract
	A-7	JP-U-06-33956	05/06/1994			
	A-8	JP-U-065466	09/06/1994			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	B-1	CD-ROM of the specification and drawings annexed to the request of Japanese Utility Model Application No. 008121/1993 (Laid-open (Chugai Ro Co., Ltd.), September 16, 1994.	
	B-2	Akako KIMURA, et al., Hot-pressed Ti-A1 targets for synthesizing Ti <sub>1-x</sub> Al <sub>x</sub> N films by the arc ion plating method, THIN SOLID FILMS, 2001, Vol. 382, pgs. 101 -105.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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